



SPORTS BETTING POLICY

Date Issued: 7/18/2024 // **Revised Date:** TBD

Policy Owner: USA Baseball Ethics Committee

1. SCOPE OF THE POLICY

This policy applies to all USA Baseball employees, Board of Directors, committee members, officers, national team athletes, national team coaches, independent contractors, officials, volunteers, and anyone participating in USA Baseball events and activities (collectively, “Affiliated Individuals”):

2. PROHIBITED CONDUCT BY AFFILIATED INDIVIDUALS

- a. **No Betting on Baseball.** Affiliated Individuals may not bet on any professional or amateur baseball games (including, without limitation, USA Baseball, Olympic Games, Paralympic Games, Pan American Games, USOPC Protected Competition, USOPC-Sponsored Events, WBSC, COPABE, Major League Baseball, Professional Development League, international, college, high school, and youth games) (hereinafter referred to as “Competitions”) or events (including, without limitation, USA Baseball-sanctioned events, home run derbies, all-star games, skills competitions, player transactions and player drafts) (hereinafter referred to as “Events”). To be clear, this prohibition covers all bets on Competitions or Events, including, without limitation, bets on game outcomes, player performance, team performance, postseason qualification, postseason results, all-star events, home run derbies, actions that occur during games or events, player transactions, individual awards, and the draft.
- b. **Proxy Betting.** Affiliated Individuals may not ask others to place bets on their behalf or otherwise benefit financially from, facilitate, or assist with bets placed by others. To be clear, Affiliated Individuals may not place bets on any sport through another person’s sports betting account.
- c. **Protected Competition or USOPC-Sponsored Events.** Affiliated Individuals shall not engage or attempt to engage, in any legal or illegal betting activities related in any way to any Protected Competition or USOPC-Sponsored events as defined in the [US Olympic and Paralympic Committee Sports Betting Policy](#).
- d. **Illegal Sports Betting.** Affiliated Individuals may not place illegal sports bets. Illegal sports bets include sports bets placed in jurisdictions where sports betting is not yet legal, and sports bets placed through bookies or illegal offshore sportsbooks (even if the bettor is in a jurisdiction where sports betting is otherwise legal).
 1. Because legal betting markets vary from state to state and from country to country, Affiliated Individuals are responsible for being aware of and understanding the sports betting laws and regulations in any jurisdictions in which they reside, compete, or travel.

- e. **Betting on Sports Other Than Baseball.** Affiliated Individuals may place legal bets on sports other than baseball in jurisdictions where sports betting is legal, provided that the person placing the bet is eligible under applicable law to place the wager, **except** for the following events: Olympic Games, Paralympic Games, Pan American Games, and any event sanctioned by the USOPC, WBSC, or COPABE.
1. Affiliated Individuals shall not bet on multi-sport bets (often known as parlays) that combine betting on Competitions or Events defined above with other sporting competitions.
 2. Affiliated Individuals who decide to bet on sports other than baseball should always do so responsibly. **For questions or concerns about a personal gambling problem, contact the National Problem Gambling Helpline by calling 1-800- GAMBLER or chatting with a helpline specialist at www.ncpgambling.org/chat. These resources are free, confidential, and available 24/7.**
- f. **Game Fixing.** Affiliated Individuals are prohibited from influencing or manipulating (or attempting to influence or manipulate) any Baseball Game or Baseball Event so that the final outcome or any other outcome or aspect of the game or event is fully determined by anything other than its merits. Affiliated Individuals violate this rule by engaging in any activity that is motivated, in whole or in part, by an intent to influence the final outcome or any other outcome or aspect of a Baseball Game or Baseball Event, irrespective of whether they benefit financially from their wrongful conduct. Affiliated Individuals shall also be in violation of this rule by failing to report any attempt to solicit them to influence or manipulate any aspect of a Baseball Game or Baseball Event, or any information they receive regarding potential violations of this rule.
- g. **No Tipping or Disclosure of Confidential Information.** Confidential information, including nonpublic information regarding player health, rosters, lineups, transactions, discipline, or umpires, may be sought by individuals looking to exploit such information in sports betting markets. Affiliated Individuals are prohibited from disclosing such confidential information to any person unless authorized to do so under the policies of USA Baseball. Affiliated Individuals must exercise care not to unintentionally disclose confidential information to individuals who seek to utilize that information to place wagers.
- h. **Gifts and Bribery.** Affiliated Individuals may not be involved with the offering, giving, acceptance, or receipt of a bribe, gift, or any type of consideration, financial or otherwise, that could directly or indirectly result in the improper influencing or manipulation of any Baseball Game or Baseball Event in any way.
- i. **Performance of Services Related to Sports Betting.** Affiliated Individuals may not perform services in any capacity involving sports betting for any third party (including, without limitation, consulting or advising on sports betting issues, or advertising, promoting or endorsing sports betting products or services) unless: (i) the services are wholly unrelated to the operation or management of a sportsbook; (ii) the services do not promote, facilitate, or otherwise relate to betting on baseball; and (iii) USA

Baseball approves of the provision of such services. Affiliated Individuals should not presume that all services satisfying conditions (i) and (ii) will be approved by USA Baseball.

Failure to abide by the rules described above will result in disciplinary action, which may include fines, suspensions, termination of employment and/or permanent ineligibility to play for, associate with or work for or with USA Baseball. USA Baseball may share personal data with sportsbooks, regulators, and other third parties to help enforce the rules described above.

3. CONFLICT OF INTEREST

It is critical to avoid actual and/or apparent conflicts of interest. Thus, Affiliated Individuals should refrain from directly or indirectly:

1. Promoting wagering
2. Facilitating or otherwise supporting wagering
3. Knowingly sharing confidential information with a person or entity involved in wagering
4. Attempting to influence the course or result of any competition in connection with wagering

4. REPORTING OF PROHIBITED CONDUCT

- a. **Obligation to Report Prohibited Conduct.** Affiliated Individuals must immediately report any information they possess involving a violation, or suspected violation, of the rules described above, including attempts by or requests to Affiliated Individuals to engage in conduct that may violate the rules as detailed in Section 5 of the [USA Baseball Code of Conduct](#) (see Exhibit A).
 1. **USOPC Protected Competition or USOPC-Sponsored Events.** If an Affiliated Individuals suspects or knows of violations involving any Protected Competition or USOPC-Sponsored event as defined in the [US Olympic and Paralympic Committee Sports Betting Policy](#), they must immediately report the suspected or known violations to the USOPC through the [USOPC's Integrity Portal](#) or by calling the USOPC Integrity Line at 877-404-9935. Reports may also be made to the [IOC Integrity Hotline](#) or to the [IPC Whistleblower Channel](#). If circumstances make such communication impractical, reports may be made to any USOPC team member, including the USOPC Chief Ethics and Compliance Officer and Ethics Director.
- b. **Discipline for Failure to Report.** Failure to report such information may result in disciplinary action. Retaliation against any individual who, in good faith, reports a violation or suspected violation of the rules set forth in this bulletin, even if an investigation finds that misconduct did not occur, is prohibited as detailed in [USA Baseball's Whistleblower Policy](#).

5. DISCLOSING OWNERSHIP INTEREST IN AN ENTITY INVOLVED IN SPORTS BETTING

Each Affiliated Individual must disclose any Ownership Interest in, or any position with, a Sports Gaming Company held by any relative or close associates (RCA) or affiliated persons, as defined in the USA Baseball Conflict of Interest Disclosure Statement and Conflict of Interest Policy, respectively,

6. CONCLUSION

This policy may not cover all future questions and proposals. If necessary, USA Baseball and/or its Ethics Committee will review each matter on its own merits and make case-by-case determinations.

If you have any questions regarding this policy, please contact USA Baseball's outside Legal Counsel (Karen Gaunt; karen.gaunt@dinsmore.com; 513-977-8503), USA Baseball Ethics Committee Chair George Grande (ethics@usabaseball.com), or USA Baseball Executive Director/CEO Paul Seiler (paulseiler@usabaseball.com).

Exhibit A

USA BASEBALL CODE OF CONDUCT **SECTION 5: REPORTING OBLIGATIONS**

No code of conduct can address every situation, nor can it replace good judgment and integrity. USA Baseball maintains an “open door” for anyone with questions or concerns. USA Baseball will support all efforts to comply with this Code of Conduct.

If Affiliated Individuals need advice or assistance concerning the application of any aspect of these standards, consult your supervisor or manager, the Ethics Committee (ethics@usabaseball.com), or USA Baseball’s outside Legal Counsel ([Karen Gaunt](#); karen.gaunt@dinsmore.com; 513-977-8503). Affiliated Individuals are expected to seek advice and clarification promptly when uncertain about proper actions or practices. Affiliated Individuals should be alert and sensitive to situations that could result in unethical, illegal, or improper actions. Affiliated Individuals are obligated to report potential or actual violations of this Code of Conduct to your supervisor or manager, the Ethics Committee (ethics@usabaseball.com), USA Baseball’s outside Legal Counsel ([Karen Gaunt](#); karen.gaunt@dinsmore.com; 513-977-8503), or any other designated reporting authority identified by USA Baseball.

USA Baseball has zero tolerance for retaliatory conduct by any Affiliated Individual against any person who comes forward with a good faith complaint or issue. Retaliation for reporting in good faith an alleged violation of this Code of Conduct will itself constitute a violation of this Code of Conduct.

For more information about the definition of retaliation and USA Baseball’s zero tolerance approach to retaliation, please see the [USA Baseball Whistleblower Policy](#).