



SPORTS BETTING POLICY FOR MAJOR LEAGUE PLAYERS



Set forth below is Major League Baseball's collectively bargained sports betting and other legal gaming policy ("Policy") covering all 40-man roster Players ("Major League Players" or "Players"). Although many of the principles addressed in this Policy are already covered by Major League Rule 21 (which remains in full force and effect), we believe that additional rules are required to safeguard our sport as legalized sports betting becomes more pervasive. For your reference, a copy of the full text of Major League Rule 21 is attached. **Please be aware that violations of Major League Rule 21 or this Policy may result in discipline up to and including permanent ineligibility from Major League and Minor League Baseball, subject to the just cause provisions of the Basic Agreement.** This bulletin, which supersedes and replaces all prior bulletins on these subjects, must be distributed to all Major League Players and posted in every Major League clubhouse.

I. Prohibited Conduct for Major League Players

- A. No Betting on Baseball.** Major League Players may not bet on any professional or amateur baseball games (including, without limitation, Major League Baseball, Minor League Baseball, international, college, high school and youth games) (hereinafter referred to as "Baseball Games") or events (including, without limitation, home run derbies, all-star games, skills competitions and tournaments) (hereinafter referred to as "Baseball Events"). Major League Players may not ask others to place bets on their behalf, knowingly benefit financially from, or knowingly assist with bets placed by others. This prohibition applies to all bets related to Baseball Games or Baseball Events, including, without limitation, bets on the outcome of games (either individual games or multiple games such as a series or season) or events within games (*e.g.*, the outcome of a particular pitch, at-bat, play or inning), any all-star game or home run derby, postseason qualification or results, the performance of players, actions that take place during games, transactions or the draft. See also Major League Rule 21(d).
- B. Fantasy Games.** Major League Players are prohibited from knowingly engaging in any of the following conduct with respect to full-season, partial season, or daily fantasy baseball games (including, but not limited to, online fantasy baseball games from providers such as Fan Duel, Draft Kings, and other similar entities) (collectively, "Fantasy Baseball Games"): participating in Fantasy Baseball Games in which prize money or other things of value are available to participants; assisting individuals who participate in such games; or arranging for others to participate on a Major League Player's behalf. For clarity, the prohibition in the preceding sentence shall only apply to a Major League Player's participation as a contestant in such games, and not to sponsorship or other business transactions that are

permissible under the Basic Agreement or the Major League Uniform Player's Contract ("UPC") or under Section I.G. hereof or to which MLB consents.

- C. Illegal Betting.** Major League Players may not place illegal bets on any sport or event, including bets placed with illegal bookmakers or illegal off-shore sports betting websites or applications. See Major League Rule 21(d)(3).
- D. Betting on Sports Other Than Baseball.** Major League Players may place legal bets on sporting events other than Baseball Games and Baseball Events in jurisdictions in which such bets are legal, provided that the person placing the bet is eligible under applicable law to place the wager. Similarly, Major League Players may participate in legal fantasy games relating to sports other than baseball for prizes or other things of value.
- E. Game Fixing.** Major League Players are prohibited from intentionally influencing or manipulating (or intentionally attempting to influence or manipulate) any Baseball Game or Baseball Event so that the final outcome, or any other outcome or aspect of the game or event, is fully determined by anything other than its merits. A Major League Player also violates this rule if, upon being solicited by any person or entity to engage in such behavior, the Player fails to inform the Commissioner immediately of the solicitation, and of all facts and circumstances connected therewith. See also Major League Rule 21(a).
- F. No Tipping or Disclosure of Confidential Information.** Confidential information, including non-public information regarding player health, rosters, lineups, transactions, discipline or umpires, may be sought by individuals who desire to exploit such information in the betting markets. Major League Players are prohibited from intentionally disclosing such confidential information regarding their Clubs, their Club's Minor League affiliates or MLB, or any professional or amateur baseball team or league, to any person with the knowledge that that person intends to use such confidential information in connection with the betting markets.
- G. Activities for and Grants of Rights to Legal Sports Gaming Companies.** Major League Players may engage in promotional or endorsement activities (*e.g.*, licensing the use of the Major League Player's name, image, likeness, and other personal attributes (collectively, "Attributes") in advertisements or making personal appearances) on behalf of, may promote or endorse, and may license use of his Attributes in or in connection with a third party engaged in, or products that involve or enable (*e.g.*, video games that enable sports betting or contain components of daily fantasy sports), legal betting (including, without limitation, casinos, racetracks, purveyors of Fantasy Baseball Games, sportsbooks, lotteries, or any other entity that offers or accepts wagering relating to sporting events or otherwise) (a "Sports

Gaming Company”); provided that (i) the promotional activity and/or licensed use of Attributes is otherwise permitted by and consistent with the Basic Agreement, Major League Rules, and the Major League Player’s UPC; and (ii) the Player does not authorize or allow the use of his name, uniform number, image, likeness, or any other Attributes in order to advertise, promote, or encourage betting on (for or against) any Baseball Game(s) or Baseball Event(s), or any event(s) or outcome(s) related in any way to Baseball Games or Events (including, without limitation, betting on a Club’s season or partial season win/loss records; end of season awards voting; or any other in-game or in-season “prop bets” associated with Clubs or players) (collectively, the “Permitted Activities and Grants”). Other than the Permitted Activities and Grants set forth in the preceding sentence, or as otherwise set forth in this Policy, Major League Players are prohibited from performing any other services related to, in support of or in connection with sports betting (including, without limitation, consulting or advising on sports betting issues) for any Sports Gaming Company. For clarity, the prohibition in the preceding sentence shall not: (1) apply to sponsorship, promotional, endorsement and/or other business arrangements or transactions with entities that are not Sports Gaming Companies, even if such entity is affiliated with (*e.g.*, a parent company, subsidiary or other affiliate of) a Sports Gaming Company, or (2) prevent a Major League Player from participating in the activities set forth in Section I.B. and I.D. as permitted (or not prohibited), or from engaging in any e-sports (*e.g.*, videogame) competitions, provided that such competitions do not involve betting on Baseball Games or Baseball Events, or broadcasting or entertainment content services for Sports Gaming Companies that do not support or relate to sports betting. Failure to abide by the rules described above will result in disciplinary action, subject to the just cause provisions of the Basic Agreement, which may include fines, suspensions, termination of employment and/or permanent ineligibility to play for, associate with or work in Major or Minor League Baseball.

H. Ownership Interests in, or Indebtedness to, Sports Gaming Companies.

Major League Players (but, for clarity, not the Major League Baseball Players Association, MLB Players, Inc. or any affiliates or licensees of such entities) are prohibited from being a director of or holding a direct or indirect ownership or economic interest (“Ownership Interest”) in, or intentionally borrowing money or entering into any other financial arrangement whereby a Major League Player owes money to, a Sports Gaming Company. The determination of whether an entity is a Sports Gaming Company will be made and consistently applied by the Commissioner, in his/her reasonable discretion and subject to the terms hereof. Notwithstanding the foregoing, a Major League Player may own shares in a Sports Gaming Company, provided that he does not own, directly or indirectly, more than 1% of any class of securities (or class of other ownership interests) in such company and does not serve as an officer, director, employee or consultant of the company. For clarity, Major League Players are not prohibited from being

a director of or holding a direct or indirect ownership or economic interest (“Ownership Interest”) in, or intentionally borrowing money or entering into any other financial arrangement whereby a Major League Player owes money to, a legal Gaming Company that is not involved in betting on Baseball.

- I. **Disclosure Requirement.** Any Major League Player who has an Ownership Interest in a Sports Gaming Company must submit to the Commissioner’s Office (compliance@mlb.com), by no later than March 1 of each year, an annual written disclosure that includes the amount of such Ownership Interest. Any Major League Player who holds a position with, or receives any compensation or other benefits from, a Sports Gaming Company must provide a detailed description of the position and the services provided upon request of the Commissioner’s Office, which requests shall only be made on an individualized basis for purposes of enforcement of the Policy.

II. **Reporting of Prohibited Conduct to the Commissioner’s Office**

- A. **Obligation to Report Prohibited Conduct.** Major League Players must immediately report to the Commissioner’s Office (MLBDOI@mlb.com; text or call to (732) 898-2364) any information they possess involving a violation, or suspected violation, of this Policy.
- B. **Discipline for Failure to Report.** Failure to report such information may result in disciplinary action. See Major League Rule 21(a).
- C. **Retaliation.** Deliberate retaliation against any individual who, in good faith, reports a violation of the rules set forth in this bulletin, even if an investigation into the underlying disclosure finds that misconduct did not occur, is prohibited.

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If you have any questions regarding this Policy, Major League Rule 21, or the topics covered therein, please do not hesitate to contact Jeff Perconte (jperconte@mlbpa.org; (212) 826-0808) at the Major League Baseball Players Association or Moira Weinberg (moira.weinberg@mlb.com; (212) 931-7535) or Quest Meeks (marquest.meeks@mlb.com; (212) 931-7814) at the Commissioner’s Office.